

Contact Center: (800) 248-8447 | www.ForgeTrust.com

Please only send one request as multiple identical requests may cause a delay in processing your request. If you wish to modify your original request, be sure to check the "Change Request" box in Section 2 of the form. Our turnaround time is 3-5 business days, unless you request expedited service (at an additional cost). If you select expedited service, all required documents must be submitted with the *INVESTMENT AUTHORIZATION* form at the same time. Expedited service does not mean same day service; it may take 1-2 business days provided that your request is complete, reviewed and accepted and the required funds are available.

How to Submit Your Investment Instructions*:

Regular mail	Overnight mail
Forge Trust Co. PO Box 7080 San Carlos, CA 94070-7080	Forge Trust Co. 1160 Industrial Road, Suite 1 San Carlos, CA 94070-4128

*If the supporting documents (please refer to the *INVESTMENT DOCUMENT REQUIREMENTS* sheet for more information) require original ink signature(s) please **mail** the *INVESTMENT AUTHORIZATION* form with the supporting documents.

For Initial Investments:

If this is an initial investment request for an asset that you do not yet hold in your account, please ensure that you send the *INVESTMENT AUTHORIZATION* form **with** supporting documentation (please review the attached *INVESTMENT DOCUMENT REQUIREMENTS* sheet). If supporting documentation is not submitted with the *INVESTMENT AUTHORIZATION* form, your request will not be processed. **Please submit all supporting documents at the same time.**

If you would like us to pre-review your chosen investment prior to submitting the *INVESTMENT AUTHORIZATION* form, please send your supporting documents to investments@ForgeTrust.com, with the subject line "Requesting Pre-Review".

For Subsequent Investments:

If this is a subsequent investment in an asset that you already hold in your account, you may be required to provide supporting documentation, and documentation required by the entity in which you are investing, along with the *INVESTMENT AUTHORIZATION* form.

1. PERSONAL INFORMATION (*required field)

Please fill in your full name, phone number and account number (if you already have an account established).

2. INVESTMENT INSTRUCTIONS (Not sure how to complete this section? Please call us at 1-800-248-8447.)

IMPORTANT: Prior to releasing your funds, we may contact you for verbal confirmation of your investment instructions. In Section 1 of the form, please ensure that you provide us with a phone number at which you can easily be reached.

Expedited Service: Check the box if you would like expedited service. All required documents must be submitted at the same time as the *INVESTMENT AUTHORIZATION* form. Expedited service does not mean same day service; it may take 1-2 business days provided that your request is complete, approved, and the required funds are available. Refer to the *FEE SCHEDULE & FINANCIAL DISCLOSURE* for the applicable fee for this service.

Change Request: Check the box if you are modifying a request you previously submitted.

Asset Name: Enter the name of the investment in which you wish to invest (for real property, enter the address or parcel number).

Asset Type: Indicate the type of asset it is (i.e. LP, LLC, promissory note, real property, etc).

Contact Information: Enter the contact information of the investment sponsor or the entity assisting you with procuring the investment.

Amount to Purchase: You may enter an exact amount, select all available cash, or the number of shares to purchase. Before sending us your investment request, if requesting an exact amount, please ensure your account is sufficiently funded, or if you are waiting for funds from different sources, choose "Invest all available cash..." and specify the amount of cash required in your custodial cash account before the purchase is to be made.

Note: If you have insufficient cash in your account to cover the exact amount specified on your request, the minimum required balance, applicable investment and/or expedite fees, and any fees due on the account, your investment will not be made.

When to Purchase: We will purchase your investment as soon as possible unless you specify an exact future date.

3. DOCUMENT REQUIREMENTS

Please refer to the attached *INVESTMENT DOCUMENT REQUIREMENTS* document. There may be several documents required to complete your investment transaction. Your investment request must contain all of the required documentation in order to be processed.

IMPORTANT: Please make sure that all supporting documents are completed in full and submitted with this form. Assets must be registered as follows: Forge Trust Co., CFBO [Investor Name] [IRA Account No.] (Tax ID: 26-2627205)

4. FUNDING INSTRUCTIONS

There are two funding options:

- Send a wire – Complete the attached *WIRE REQUEST* form with instructions provided by the payee and attach it to the *INVESTMENT AUTHORIZATION* form. A wire fee applies (see our *FEE SCHEDULE & FINANCIAL DISCLOSURE*).
- Send a check – We can send a check by regular mail or overnight mail. Enter the payee's name, address, and other relevant information on the right (note: we will not send checks to a bank address). If you choose overnight mail, an Overnight Delivery Fee applies (see our *FEE SCHEDULE & FINANCIAL DISCLOSURE*). Cost of delivery will be added to the Overnight Delivery Fee unless you provide a FedEx or UPS account number to charge it to.

5. ACKNOWLEDGMENT, AUTHORIZATION & PARTICIPANT SIGNATURE

Please sign the line indicating "Participant Signature", and fill in the date you signed it. Have your signature notarized and attach the notarization. If you do not provide a notarization, we may contact you to verbally confirm your investment instructions prior to releasing your funds.



This form must be used to authorize the purchase of any investment. Please read the attached instruction sheet on how to complete this form and what documents you will need to submit with this form.

IMPORTANT: Asset documents must specify the following registration: "Forge Trust Co. CFBO: [Investor Name] [IRA Account No.] (Tax ID: 26-2627205)"

1. PERSONAL INFORMATION (*required field)

Should Forge Trust Co. need to contact you in regards to this request, your preferred method of contact is:

- Email
- Primary Phone

First Name*	Middle Name	Last Name*
Account Number*	Social Security Number* (last 4 digits)	Date of Birth* (MM/DD/YYYY)
Phone* XXX-XXX-XXXX	Email (Your personal email only)	

2. INVESTMENT INSTRUCTIONS (Prior to releasing your funds we may contact you for verbal confirmation of these instructions.)

Expedited Service: Check this box if you want this request to be expedited. Please read the attached instruction sheet for information regarding this service. By checking this box you agree to the fees and terms of this expedite service.

Change Request: Check this box if this request is a modification of an original request.

Asset Name*
Asset Type*

(e.g. brokerage account, certificate of deposit (CD), hedge fund, IRA LLC, life settlement, LLC/LP, managed futures/foreign currency account, precious metals, private placement, private stock, promissory note (secured or unsecured), mutual fund, real property, REIT, tax lien, structured settlement, etc.)

Contact Information (all fields must be completed unless otherwise specified)

Name of Investment Sponsor/Managing Entity*	Address*	
Phone* XXX-XXX-XXXX	Fax Number (optional)	Email*

Amount to Purchase (select one)

Please note we will retain enough cash to maintain your minimum required balance, and to cover any investment-related fees or any unpaid fees before sending your requested amount. If there are insufficient funds to cover the minimum balance and/or fees, your request will be put on hold until sufficient funds are available.

Invest exactly: _____
Invest all available cash balance less funds on hold, required minimum balance, fees due and transaction fees Optional: Specify amount of cash required in custodial cash account before purchase is made: _____ Optional: If you wish to retain more than the minimum required balance, specify amount to be retained: _____
Total shares/units/interest to purchase: _____
Invest exactly: _____ Total shares/units/interest to purchase: _____

When to Purchase (select one)

Purchase one-time only as soon as possible (default)

Purchase one-time only on or after (MM/DD/YYYY): _____

3. DOCUMENT REQUIREMENTS (Your request will not be processed if you do not provide the required documents with this form.)

Please refer to the attached *INVESTMENT DOCUMENT REQUIREMENTS* document. There may be several documents required to complete your investment transaction. Your investment request must contain all of the required documentation in order to be processed. Please make sure that all supporting documents are completed in full and submitted at the same time with this form. If this is a subsequent investment in an asset that is already held in your account, you may be required to submit supporting documents. *ASSETS MUST BE REGISTERED AS "FORGE TRUST CO. CFBO [INVESTOR NAME] [ACCOUNT NO.] (TAX ID: 26-2627205)".*

4. FUNDING INSTRUCTIONS (Please indicate how funds from your account are to be sent (check or wire) for the purchase of the asset listed above.)

Send a WIRE. I have completed and attached a *WIRE REQUEST* form. I understand that an outgoing wire fee applies.

Send a CHECK using the following service:

Regular Mail

Overnight Mail (via FedEx) (overnight delivery fee + cost applies)

Charge cost of overnight delivery to:

FedEx Account #: _____

If no account # is provided, it will be charged to your IRA account

Payee Name & Address (must not be a bank address*)

Payee Name

Address

City/State/Zip

**We no longer mail checks to bank addresses.*

5. ACKNOWLEDGMENT, AUTHORIZATION & PARTICIPANT SIGNATURE

I hereby acknowledge that I am solely responsible for the investment instructions I am making. You acknowledge that IRA Services, Inc. and Forge Trust Co. and their representatives do not provide tax, legal or investment advice; that the Account is self-directed; and that you assume full responsibility for this investment. IRA Services, Inc. and Forge Trust Co. are not responsible for and do not guarantee the products, services or performance of any self-directed investment. You release and agree to indemnify and hold harmless IRA Services, Inc. and Forge Trust Co., their divisions, officers, employees, directors, representatives, owners, affiliates, successors, and assigns from liability for any adverse consequences that may result from this investment. I hold harmless, protect and indemnify the Custodian and Administrator from and against any and all liabilities, losses, damages, expenses and charges that the Custodian and Administrator may sustain or might sustain resulting directly or indirectly from my investment. By your signature below, you certify that the information and instructions provided, and the elections made by and through this investment instructions, are true and correct. I acknowledge that if I do not provide a notarized signature, Forge Trust Co. may contact me for verbal confirmation of my investment instructions, which may cause delays if I cannot be reached at the phone number provided in Section 1 of this form or any of my phone number(s) on record. I hereby authorize the purchase of the asset listed above for my Forge Trust Co. account. I confirm that this purchase does not include any illegal or impermissible investments under South Dakota or Federal law, including, but not limited to, holdings of marijuana or other illegal substances, illegal gambling, or illegal artifacts.

Account Owner's Signature

X

Date (MM/DD/YYYY)

Please sign and submit additional documents as required.

DELIVERY INSTRUCTIONS

Email
investments@ForgeTrust.com

Fax
(650) 745-2929

Regular mail
Forge Trust Co.
PO Box 7080
San Carlos, CA 94070-7080

Overnight mail
Forge Trust Co.
1160 Industrial Road, Suite 1
San Carlos, CA 94070-4128



Complete sections 1, 2 & 3 if you are lending funds to, or buying stock or an interest in, a business entity, LLC, Limited Partnership.
Complete sections 1 & 4 if you are lending funds to an Individual.

1. PERSONAL INFORMATION (*required field)

Should Forge Trust Co. need to contact you in regards to this request, your preferred method of contact is:

Email

Primary Phone

First Name*	Middle Name	Last Name*
Account Number*	Social Security Number* (last 4 digits)	Date of Birth* (MM/DD/YYYY)
Phone* XXX-XXX-XXXX	Email (Your personal email only)	

2. INVESTMENT NAME/DESCRIPTION

Name of company/limited partnership/business entity in which you wish to invest

3. QUESTIONNAIRE

1. Do you or any family member own any personal units/shares in the company?

Yes

No

If yes:

How are you related to the family member? (Write "self" if yourself)

What is the percentage of ownership?

%

2. Are you or any family member the main decision-maker or majority owner of the company?

Yes

No

If yes:

How are you related to the family member? (Write "self" if yourself)

3. Are you or any family member employed by the company?

Yes

No

If yes:

How are you related to the family member? (Write "self" if yourself)

What position is held?

4. Will you or any family member be receiving any personal gain based on your IRA investment in the company?

Yes

No

If yes:

How are you related to the family member? (Write "self" if yourself)

Please explain

5. Additional comments and/or explanations:

4. COMPLETE IF LENDING FUNDS TO AN INDIVIDUAL

1. Name of Borrower:	
2. How are you related to the Borrower?	Please explain

5. SIGNATURE

Participant Signature X	Date (MM/DD/YYYY)
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Please sign and submit additional documents as required.

DELIVERY INSTRUCTIONS

Please include this form with your required Investment documents.

Email
investments@ForgeTrust.com

Fax
(650) 745-2929

Regular mail
Forge Trust Co.
PO Box 7080
San Carlos, CA 94070-7080

Overnight mail
Forge Trust Co.
1160 Industrial Road, Suite 1
San Carlos, CA 94070-4128



This form is to be attached to the Investment Authorization or Distribution Request form if you are requesting that we wire your funds.

1. PERSONAL INFORMATION (*required field)

Should Forge Trust Co. need to contact you in regards to this request, your preferred method of contact is: Email Primary Phone	First Name*	Middle Name	Last Name*
	Account Number*	Social Security Number* (last 4 digits)	Date of Birth* (MM/DD/YYYY)
	Phone* XXX-XXX-XXXX	Email (Your personal email only)	

2. TRANSACTION TYPE

These wire instructions are for (select one):

An investment; I am submitting an Investment Authorization with this Wire Request form	A distribution; I am submitting a Distribution Request with this Wire Request form
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3. WIRE INSTRUCTIONS (Outgoing wire fee applies)

Please wire my funds to the following bank account (fields marked with an asterisk (*) are required):

Bank Name*			
Bank Address*			
Bank Phone Number*		Attention:	
ABA (wire routing number)*			
Account Name*			
Account Number*			
For Further Credit Account Name			
For Further Credit Account Number			

4. INTERNATIONAL WIRE (Optional: Do not complete this section if you do not intend to send an international wire)

For International Wires: Please provide the international wiring instructions in the area below. All international wires must go through a domestic intermediary bank (please fill in this information in the space indicated below). Outgoing international wire fee applies.

International Bank (fields marked with an asterisk (*) are required):

Bank Name*			
Bank Address*			
SWIFT Code/IBAN*			
Account Name*			
Account Number*			
For Further Credit Account Name			
For Further Credit Account Number			

Domestic Intermediary Bank (Mandatory) (fields marked with an asterisk (*) are required):

Bank Name*	
Bank Address*	
Bank Phone Number*	
ABA (wire routing number)*	

5. AUTHORIZATION

Participant Signature X	Date (MM/DD/YYYY)
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Please sign and submit additional documents as required.

DELIVERY INSTRUCTIONS

Please include this form with your Investment Authorization, Distribution Request or RMD Cash Distribution form.

For Investment wires:

Fax (650) 745-2929

Email investments@ForgeTrust.com

For Distribution wires:

Fax (650) 745-1403

Email distributions@ForgeTrust.com

Regular mail

Forge Trust Co.

PO Box 7080

San Carlos, CA 94070-7080

Overnight mail

Forge Trust Co.

1160 Industrial Road, Suite 1

San Carlos, CA 94070-4128

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Important things investors need to know prior to opening their self-directed IRA account with Forge Trust Co.

To make your initial investment purchase, you are required to submit supporting documents **with** your *INVESTMENT AUTHORIZATION* form. Below is a list of common investment assets and their document requirements. For all other assets not listed below, please call us at (800) 248-8447.

Please ensure that all paperwork is completed correctly prior to submitting to Forge Trust Co. and that all required paperwork is submitted together with the *INVESTMENT AUTHORIZATION* form.

IMPORTANT: Investments must be registered and/or titled "Forge Trust Co. CFBO [Investor Name] [IRA Account No.] under Tax ID 26-2627205"

BROKERAGE ACCOUNT (For publicly traded securities, foreign exchange, commodity exchange, etc.)

Brokerage firm's account application form—Please ensure that the firm is able to establish an account under the name of "Forge Trust Co. CFBO [Investor Name] [IRA Account No.] under Tax ID 26-2627205"

CERTIFICATE OF DEPOSIT (CD)

CD with Fremont Bank: No additional documentation required. Please ensure that you include the length of the term and the amount to be invested on the Investment Authorization form.

CD with another financial institution: Application form provided by financial institution of your choice—Please ensure that the financial institution is able to establish an account under the name of "Forge Trust Co. CFBO [Investor Name] [IRA Account No.] under Tax ID 26-2627205"

HEDGE FUNDS

Application form provided by hedge fund manager

Subscription Agreement

Investment interest/membership must be registered under "Forge Trust Co. CFBO [Investor Name] [IRA Account No.] under Tax ID 26-2627205" with a signature line for Forge Trust Co.'s authorized signer

Subscription Agreement must also include the investor's name and signature

Forge Trust Co.' Prohibited Transaction Questionnaire

IRA LLC

Operating Agreement (see IRA LLC REQUIREMENTS document)

EIN Confirmation

Articles of Organization or Certificate of Formation

IRA LLC Agreement

PRECIOUS METALS

Invoice/purchase contract/order form from precious metals dealer under the name of "Forge Trust Co. CFBO [Investor Name] [IRA Account No.] under Tax ID 26-2627205"

You are responsible for finding a precious metals dealer and contacting them to obtain an invoice, purchase contract or order form to be submitted with our Investment Authorization form. All precious metals are to be stored at a facility approved by Forge Trust Co. (see our Fee Schedule for a list of approved storage facilities and their respective storage fees). For a list of precious metals allowed in an IRA, please see the "Acceptable Precious Metals" document. You may find this on our website, www.ForgeTrust.com, or call us at (800) 248-8447 to obtain a copy.

PRIVATE PLACEMENTS, LIMITED PARTNERSHIPS, PUBLIC LLCs, NON-PUBLIC PARTNERSHIPS, etc.

Subscription Agreement and Private Placement Memorandum

Investment interest/membership must be registered under "Forge Trust Co. CFBO [Investor Name] [IRA Account No.] under Tax ID 26-2627205" with a signature line for Forge Trust Co.'s authorized signer

Subscription Agreement must also include the client (IRA owner's) name and signature as "Read and approved by <Insert client's printed name> <followed by the client's signature>"

Forge Trust Co.' Prohibited Transaction Questionnaire form

PRIVATE STOCK (Non-publicly traded)

Stock purchase agreement/contract under the name of "Forge Trust Co. CFBO [Investor Name] [IRA Account No.] under Tax ID 26-2627205"

Forge Trust Co.' Prohibited Transaction Questionnaire form

PROMISSORY NOTES – see attached

MUTUAL FUNDS

No additional documentation required.

Mutual Funds are purchased through Matrix Settlement and Clearing Services (MSCS). MSCS requires funds be sent via wire for payments on settlement date. A \$25 outgoing wire fee will apply.

REITs (Real Estate Investment Trust)

Application form from REIT company

IMPORTANT: Investments must be registered and/or titled "Forge Trust Co. CFBO [Investor Name] [IRA Account No.]" (Tax ID: 26-2627205).

TAX LIENS – see attached

Asset Type	Required Pre-Funding Documents	Required Post-Funding Documents
1. UNSECURED PROMISSORY NOTE If borrower is an individual If borrower is a business entity	Investment Authorization with funding instructions Original notarized note* Note servicing agreement (if applicable) Investment Authorization with funding instructions Copy of notarized note* Copy of assignment of note Documents certifying formation of entity Evidence that the entity is in good standing Prohibited Transactions Questionnaire	Amortization/payment schedule (if applicable) Amortization/payment schedule (if applicable)
2. SECURED PROMISSORY NOTE If borrower is an individual If borrower is a business entity	Investment Authorization with funding instructions Original notarized note* Note servicing agreement (if applicable) Investment Authorization with funding instructions Copy of the secured note* Note servicing agreement (if applicable) Documents certifying formation of entity Evidence that the entity is in good standing Prohibited Transactions Questionnaire	Amortization/payment schedule (if applicable) Amortization/payment schedule (if applicable)
a.) Secured by Real Estate	Applicable documents listed in Section 2 plus: Copy of deed of trust/mortgage Copy of assignment of deed of trust (if applicable) Copy of note endorsement (if applicable) Evidence that borrower is/will be on the title	Applicable documents listed in Section 2 plus: Recorded deed of trust/mortgage Recorded assignment of deed of trust (if applicable) Executed note endorsement (if applicable)
b.) Secured by Vehicle, Manufactured or Mobile Home	Applicable documents listed in Section 2 plus: Copy of certificate of ownership in borrower's name Registration of title/lien holder to "Forge Trust Co. CFBO [Investor Name] [IRA Account No.] under Tax ID 26-2627205" Evidence that borrower is/will be on the title	Applicable documents listed in Section 2 plus: Executed security agreement Certificate of ownership
c.) Secured by Equipment	Applicable documents listed in Section 2 plus: Copy of UCC filing (if applicable) Evidence that borrower is/will be on the title	Applicable documents listed in Section 2 plus: Executed security agreement Recorded UCC filing (if applicable)
d.) Secured by Company Shares	Applicable documents listed in Section 2 plus: Copy of security agreement (if applicable) Copy of pledge agreement (if applicable) Documents certifying formation of entity Evidence that the entity is in good standing Private Placement Memorandum/Subscription Agreement/Operating Agreement	Applicable documents listed in Section 2 plus: Executed security agreement Stock Certificate (if applicable) Stock Power (if applicable)
e.) Secured by Participation Agreement	Applicable documents listed in Section 2 plus: Copy of participation agreement Evidence that borrower is/will be on the title Documents certifying formation of entity Evidence that the entity is in good standing Private Placement Memorandum/Subscription Agreement/Operating Agreement	Applicable documents listed in Section 2 plus: Executed participation agreement
f.) Secured by Other Collateral	Applicable documents listed in Section 2 plus: Evidence that borrower is/will be on the title Applicable security agreement	Applicable documents listed in Section 2 plus: Executed security agreement Executed certificate of ownership

<p>3. **REAL ESTATE</p> <p>Earnest money/For deposit only</p> <p>Purchase/Closing</p>	<p>When purchasing real estate, be sure that the third party understands that the buyer is "Forge Trust Co. CFBO [Investor Name] [IRA Account No.] under Tax ID 26-2627205"</p> <p>Investment Authorization with funding instructions Purchase Contract or Preliminary Settlement Statement in the name of "Forge Trust Co. CFBO [Investor Name] [IRA Account No.] under Tax ID 26-2627205"</p> <p>Investment Authorization with funding instructions Purchase Contract (without deposit) Estimated Closing Statement Preliminary title report Escrow package (if applicable) Loan package (if applicable) Proposed deed with "When Recorded Return To" address listed as: Forge Trust Co. – PO Box 7080, San Carlos, CA 94070</p>	<p>Recorded Grant Deed Title Insurance Policy</p>
<p>4.) TAX LIEN</p>	<p>Investment Authorization with funding instructions Application for purchase</p>	<p>Tax lien certificate</p>

*Promissory note must outline the following terms, with signature lines for both the borrower and the lender:

- Amount of loan
- Date of issuance
- Interest rate
- Interest compounding period (annual, quarterly, monthly, daily, none)
- Re-payment terms (payments must be sent to Forge Trust Co.: PO Box 7080, San Carlos, CA 94070)
- Maturity date

Lender must be listed as "Forge Trust Co. CFBO [Investor Name] [IRA Account No.] under Tax ID 26-2627205"

Real Estate: Property Tax and all other expenses related to the Real Estate must be paid from the IRA account. Submit Expense payment request form and Invoice for payment. **DO NOT PAY WITH PERSONAL FUNDS as this will cause a prohibited transaction in your account resulting in a taxable distribution to you which will include penalty.

Contact Center: (800) 248-8447 | www.ForgeTrust.com

This document is for informational purposes only. Please keep this for your records.

IMPORTANT: Investments must be registered and/or titled "FORGE TRUST CO. CFBO [INVESTOR NAME] [IRA ACCOUNT NO.]" under Tax ID: 26-2627205.

Asset Type	Required Pre-Funding Documents	Required Post-Funding Documents
1. UNSECURED PROMISSORY NOTE If borrower is an individual If borrower is a business entity	Investment Authorization with funding instructions Original notarized note* Note servicing agreement (if applicable) Investment Authorization with funding instructions Copy of notarized note* Copy of assignment of note Documents certifying formation of entity Evidence that the entity is in good standing Prohibited Transactions Questionnaire	Amortization/payment schedule (if applicable) Amortization/payment schedule (if applicable)
2. SECURED PROMISSORY NOTE If borrower is an individual If borrower is a business entity	Investment Authorization with funding instructions Original notarized note* Note servicing agreement (if applicable) Investment Authorization with funding instructions Copy of the secured note* Note servicing agreement (if applicable) Documents certifying formation of entity Evidence that the entity is in good standing Prohibited Transactions Questionnaire	Amortization/payment schedule (if applicable) Amortization/payment schedule (if applicable)
a.) Secured by Real Estate	Applicable documents listed in Section 2 plus: Copy of deed of trust/mortgage Copy of assignment of deed of trust (if applicable) Copy of note endorsement (if applicable) Evidence that borrower is/will be on the title	Applicable documents listed in Section 2 plus: Recorded deed of trust/mortgage Recorded assignment of deed of trust (if applicable) Executed note endorsement (if applicable)
b.) Secured by Vehicle, Manufactured or Mobile Home	Applicable documents listed in Section 2 plus: Copy of certificate of ownership in borrower's name Registration of title/lien holder to "Forge Trust Co. CFBO [Investor Name] [IRA Account No.]" Evidence that borrower is/will be on the title	Applicable documents listed in Section 2 plus: Executed security agreement Certificate of ownership
c.) Secured by Equipment	Applicable documents listed in Section 2 plus: Copy of UCC filing (if applicable) Evidence that borrower is/will be on the title	Applicable documents listed in Section 2 plus: Executed security agreement Recorded UCC filing (if applicable)
d.) Secured by Company Shares	Applicable documents listed in Section 2 plus: Copy of security agreement (if applicable) Copy of pledge agreement (if applicable) Documents certifying formation of entity Evidence that the entity is in good standing Private Placement Memorandum/Subsription Agreement/Operating Agreement	Applicable documents listed in Section 2 plus: Executed security agreement Stock Certificate (if applicable) Stock Power (if applicable)
e.) Secured by Participation Agreement	Applicable documents listed in Section 2 plus: Copy of participation agreement Evidence that borrower is/will be on the title Documents certifying formation of entity Evidence that the entity is in good standing Private Placement Memorandum/Subsription Agreement/Operating Agreement	Applicable documents listed in Section 2 plus: Executed participation agreement
f.) Secured by Other Collateral	Applicable documents listed in Section 2 plus: Evidence that borrower is/will be on the title Applicable security agreement	Applicable documents listed in Section 2 plus: Executed security agreement Executed certificate of ownership

<p>3. REAL ESTATE</p> <p>Earnest money/For deposit only</p> <p>Purchase/Closing</p>	<p>When purchasing real estate, be sure that the third party understands that the buyer is "Forge Trust Co. CFBO [Investor Name] [IRA Account No.]"</p> <p>Investment Authorization with funding instructions Purchase Contract or Preliminary Settlement Statement in the name of "Forge Trust Co. CFBO [Investor Name] [IRA Account No.]"</p> <p>Investment Authorization with funding instructions Purchase Contract (without deposit) Estimated Closing Statement Preliminary title report Escrow package (if applicable) Loan package (if applicable) Proposed deed with "When Recorded Return To" address listed as: Forge Trust Co. – PO Box 7080, San Carlos, CA 94070</p>	<p>Recorded Grant Deed Title Insurance Policy</p>
<p>4.) TAX LIEN</p>	<p>Investment Authorization with funding instructions Application for purchase</p>	<p>Tax lien certificate</p>

*Promissory note must outline the following terms, with signature lines for both the borrower and the lender:

- Amount of loan
- Date of issuance
- Interest rate
- Interest compounding period (annual, quarterly, monthly, daily, none)
- Re-payment terms (payments must be sent to Forge Trust Co.: PO Box 7080, San Carlos, CA 94070)
- Maturity date

Lender must be listed as "Forge Trust Co. CFBO [Investor Name] [IRA Account No.]"