ForgeTrust

WORTHLESS INVESTMENT NOTIFICATION

Contact Center: (800) 248-8447 | www.ForgeTrust.com

Use this form to report a worthless investment and request that it be removed from your account.

All fields in each section are required.

1. PERSONAL INFORMATION

First Name	Middle Name (Optional)	Last Name
Account Number	Social Security Number Last 4 Digits Only	Date of Birth (MM/DD/YYYY)
Phone XXX-XXX-XXXX	Email (Your personal email only)	

2. INVESTMENT DETAILS

Investment Sponsor Name	Sponsor Contact Information (phone or email)
Investment Name / Description	Investment Type (Private Equity, Real Estate, Note, etc.)
The reason the investment is worthless	

3. SUPPORTING DOCUMENTATION

Select at least one from the below options to provide support for the worthless investment. The supporting document(s) must be provided when submitting this form.

- ☐ Final K-1 Statement
- ☐ Finalized Chapter 7 bankruptcy court documents
- ☐ Written communication from the investment sponsor confirming the investment is worthless. An explanation should be included.
- ☐ Notarized letter on letterhead from an Attorney or CPA with evidence for determining the investment is worthless.
- ☐ Secretary of State documents. Additional follow up and documents may be needed to confirm the investment is worthless.
- ☐ If a note or debt instrument, provide evidence of attempted collections efforts.
  - ☐ If collateralized, provide proof of release of collateral or assignment to the IRA as owner.

4. SIGNATURE

I attest that the information is true and accurate and that I have not received funds personally. The investment is worthless, with no value, and I request that it be removed from my account. I understand it is my responsibility to report any future value received from this investment to the IRS.

Account Owner Signature X	Date (MM/DD/YYYY)

Please sign and submit additional documents as required.

DELIVERY INSTRUCTIONS

Email
AssetSupport@ForgeTrust.com

Fax
(650) 769-6664

Regular mail
Forge Trust Co.
PO Box 2048
San Francisco, CA 94126

Overnight mail
Forge Trust Co.
4 Embarcadero Ctr, Floor 15
San Francisco, CA 94111

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