ForgeTrust

IRA LLC INVESTMENT CHECKLIST

Contact Center: (800) 248-8447 | www.ForgeTrust.com

To make your initial investment purchase, you are required to submit supporting documents with this *INVESTMENT AUTHORIZATION* form. Below is a list of common asset types and the document required.

☐ **REGISTRATION - All assets and investments made through an IRA LLC must be titled and registered in the name of the LLC:**

Example: If your IRA LLC purchases real estate, the property deed must list the LLC as the owner.

IRA LLC

- ☐ IRA LLC Agreement (see website for this additional Forge form)
- ☐ Operating Agreement
- ☐ Articles of Organization or Certificate of Formation
- ☐ EIN Confirmation (showing the LLC registration and EIN assigned)

Note:

If the supporting documents require original ink signature(s), please mail this Investment Authorization form with the supporting documents to Forge Trust Co. at:

Regular Mail: PO Box 2048, San Francisco, CA 94126

Overnight Mail: 4 Embarcadero Ctr, Floor 15, San Francisco, CA 94111

Need help completing the form? Please call us at 1-800-248-8447 for assistance.

©2026 Forge Trust Co.

☐ Priority Processing Requested: Priority processing does not mean same day; it may take 1-3 business days, if in good order, approved, and required funds are available. There is a fee of $250 for this service. Standard processing time is up to 10 business days, if in good order.

☐ Change Request: Check this box if this request is a modification of an original request.

☐ Invoice My Fees: I elect to be invoiced any applicable fees to pay online, via credit card or ACH. If enrolled in automatic payments, no additional action is required; otherwise, I understand I will have 5 days to make a one-time payment. If payment is not received, and there is insufficient cash to satisfy the amount due, my request will be canceled.

Required fields are identified with an *

1. PERSONAL INFORMATION

Should Forge Trust Co. need to contact you in regards to this request, your preferred method of contact is:

☐ Email

☐ Primary Phone

First Name*	Middle Name	Last Name*
Account Number*	Social Security Number* Last 4 Digits Only	Date of Birth* (MM/DD/YYYY)
Phone* XXX-XXX-XXXX	Email (Your personal email only)	

2. INVESTMENT INSTRUCTIONS

Prior to releasing your funds we may contact you at the phone number on record for verbal confirmation of these instructions.

Name of IRA LLC*	
Primary Investment Type*	If "Other", please specify

IRA LLC Contact Information (If different than section 1)

Name of Investment Sponsor/Managing Entity		Address (No PO Box)
Phone XXX-XXX-XXXX	Fax Number (optional)	Email

Amount to Purchase

Please note we will retain enough cash to maintain your minimum required balance, and to cover any investment-related fees or any unpaid fees before sending your requested amount. If there are insufficient funds to cover the minimum balance and/or fees, your request will be put on hold until sufficient funds are available. The purchase will be completed as soon as possible.

☐ Invest exactly amount / fund now: $ _____ Total shares/units/interest being purchased now: _____

☐ Invest all available cash balance less funds on hold, required minimum balance, fees due and transaction fees

- ☐ Optional: Specify amount of cash required in custodial cash account before purchase is made: _____
- ☐ Optional: If you wish to retain more than the minimum required balance, specify amount to be retained: _____

3. DOCUMENT REQUIREMENTS (Your request will not be processed if you do not provide the required documents with this form.)

Please refer to the *INVESTMENT CHECKLIST* on page 1. There may be additional documents required to complete your investment transaction. Your investment request must contain all of the required documentation, and completed in full, signed "read and approved", in order to be processed.

ASSETS MUST BE REGISTERED AS "FORGE TRUST CO. CFBO [INVESTOR NAME] [ACCOUNT NO.] (TAX ID: 26-2627205)". Address of record: PO Box 2048, San Francisco, CA 94126

©2026 Forge Trust Co.

4. FUNDING INSTRUCTIONS

Please indicate how funds from your account are to be sent (check or wire) for the purchase of the asset listed above.

◯ Send a WIRE. I understand a wire fee will apply.

Bank Name*	
Bank Address* (No PO Box)	
Bank Phone Number	
Attention	
ABA (wire routing number)*	
Account Name*	
Account Number*	
For Further Credit Account Name	
For Further Credit Account Number	

◯ Send a CHECK using the following service:

◯ Regular Mail

◯ Overnight Mail (via FedEx)

Charge cost of overnight delivery to:

FedEx Account #: _____

If no account # is provided, it will be billed to your IRA account at cost.

Payee Name

Address (must not be a bank address)

City/State/Zip

5. POLITICALLY EXPOSED PERSON (PEP)

Are you a Politically Exposed Person ("PEP")? PEP refers to individuals who are or have been entrusted with a prominent public function, as well as to their immediate family members, and close associates. PEPs include political figures, executives of government-owned corporations, senior government officials, and members of the executive, legislative, administrative, military, and judicial branches of government.	◯ Yes	◯ No

If yes, please explain.

6. ACKNOWLEDGMENT, AUTHORIZATION & SIGNATURE

I hereby acknowledge that I am solely responsible for the investment instructions I am making. You acknowledge that Forge Trust Co. and its representatives do not provide tax, legal or investment advice; that the Account is self-directed; and that you assume full responsibility for this investment. Forge Trust Co. is not responsible for and does not guarantee the products, services or performance of any self-directed investment. You release and agree to indemnify and hold harmless Forge Trust Co., its divisions, officers, employees, directors, representatives, owners, affiliates, successors, and assigns from liability for any adverse consequences that may result from this investment. I hold harmless, protect and indemnify the Custodian and Administrator from and against any and all liabilities, losses, damages, expenses and charges that the Custodian and Administrator may sustain or might sustain resulting directly or indirectly from my investment. By your signature below, you certify that the information and instructions provided, and the elections made by and through this investment authorization, are true and correct.

I acknowledge that Forge Trust Co. may contact me for verbal confirmation of my investment instructions, which may cause delays if I cannot be reached at any of my phone number(s) on record. I hereby authorize the purchase of the asset listed above for my Forge Trust Co. account. I confirm that this purchase does not include any illegal or impermissible investments under South Dakota or Federal law, including, but not limited to, marijuana-related holdings or holdings related to illegal substances, illegal gambling, or illegal artifacts.

Account Owner's Signature ✕	Date (MM/DD/YYYY)

Please sign and submit additional documents as required.