ForgeTrust

PUBLICLY TRADED BUY & SELL AUTHORIZATION

Contact Center: (800) 248-8447 | www.ForgeTrust.com

Use this form to buy or sell publicly traded securities such as Mutual Funds, Money Market Funds, Equities, Government Securities, Fixed Income, Brokered CD, and ETFs. As used herein, "Custodian" refers to Forge Trust Co., and "Administrator" refers to Forge Services, Inc. Please note, positions held in your Forge Trust account are priced periodically, not in real-time. A fee will apply per trade; refer to the Fee Schedule & Financial Disclosure.

Important Information:

- **All Mutual Fund trades are submitted through Matrix Settlement and Clearing Services, LLC and automatically set up for dividend reinvestment. An additional $25 outgoing wire fee will apply on purchases.**
- **All Non-Mutual Fund trades are submitted through Morgan Stanley Smith Barney LLC and automatically set up for cash dividends.**
- **It can take 1-3 business days for trades to be executed from when the form is received in good order.**
- **Trades are submitted as market orders. Orders will be executed at prevailing market prices at the time the order is processed by the executing broker, not at the time your request is submitted or received.**
- **You acknowledge that market prices may fluctuate materially between the time of submission and execution. Execution prices are not guaranteed, and you accept all market risk associated with such trades. The Custodian and Administrator do not control intraday timing of execution and make no representation or guarantee regarding the timing, price, or availability of any trade.**
- **I acknowledge Forge Trust Co. may contact me for verbal confirmation of my request, at Forge Trust Co.'s discretion, which may cause delays if I cannot be reached at the phone number(s) on record.**

☐ Invoice My Fees: I elect to be invoiced any applicable fees to pay online, via credit card or ACH. If enrolled in automatic payments, no additional action is required; otherwise, I understand I will have 5 days to make a one-time payment. If payment is not received, and there is insufficient cash to satisfy the amount due, my request will be canceled.

1. PERSONAL INFORMATION (*required field)

First Name*	Middle Name (Optional)	Last Name*
Account Number*	Social Security Number* (last 4 digits)	Date of Birth* (MM/DD/YYYY)
Phone* XXX-XXX-XXXX	Email* (Your personal email only)	

2. TRADE INSTRUCTIONS

For buys, we will retain enough cash to maintain your minimum required balance and cover any investment-related or unpaid fees before sending your requested amount. If there are insufficient funds to cover the minimum balance and/or fees, your request will be put on hold until sufficient funds are available. Buys can only be executed in whole shares. As a result, we will invest as close to the specified dollar amount as possible, though a slight variance may occur due to share pricing. All Treasuries must be submitted in dollar amount.

Trade	Asset Name	Ticker / CUSIP	No. of Shares/Units		Dollar Amount
☐ Buy ☐ Sell				or	$
☐ Buy ☐ Sell				or	$
☐ Buy ☐ Sell				or	$

3. AUTHORIZATION

I hereby acknowledge that I am solely responsible for the trade request I am making. I hold harmless, protect, and indemnify the Custodian and Administrator from and against any and all liabilities, losses, damages, expenses, and charges that the Custodian and Administrator may sustain, resulting directly or indirectly from my investment. I acknowledge that I am solely responsible for the success or failure of this investment. I hereby authorize the buy and/or sell of the asset(s) listed above for my Forge Trust Co. account. For liquidations, I understand that the cash will be deposited into my Custodial Cash Account until further instruction.

Account Owner Signature	Date (MM/DD/YYYY)
✕	

DELIVERY INSTRUCTIONS

Email
Investments@ForgeTrust.com

Fax
(650) 745-2929

Regular mail
Forge Trust Co.
PO Box 2048
San Francisco, CA 94126

Overnight mail
Forge Trust Co.
4 Embarcadero Ctr, Floor 15
San Francisco, CA 94111

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