

Contact Center: (800) 248-8447 | www.ForgeTrust.com

Use this form to buy or sell publicly traded assets such as Mutual Funds, Money Market Funds, Equities, Government Securities, Fixed Income, Brokered CD, and ETFs. As used herein, "Custodian" refers to Forge Trust Co., and "Administrator" refers to Forge Services, Inc.

**Important Information:**

- All Mutual Fund trades are submitted through Matrix Settlement and Clearing Services, LLC and automatically set up for dividend reinvestment. A \$25 outgoing wire fee will apply on purchases.
- All Non-Mutual Fund trades are submitted through Morgan Stanley Smith Barney LLC and automatically set up for cash dividends.
- Pricing is updated periodically, not in real-time. Please check the current trading price before submitting trade requests. Price/amount is not guaranteed.
- A fee will apply per trade; refer to the Fee Schedule & Financial Disclosure.

## 1. PERSONAL INFORMATION (\*required field)

First Name*	Middle Name (Optional)	Last Name*
Account Number*	Social Security Number* (last 4 digits)	Date of Birth* (MM/DD/YYYY)
Phone* XXX-XXX-XXXX	Email* (Your personal email only)	

## 2. TRADE INSTRUCTIONS

For buys, we will retain enough cash to maintain your minimum required balance and cover any investment-related or unpaid fees before sending your requested amount. If there are insufficient funds to cover the minimum balance and/or fees, your request will be put on hold until sufficient funds are available.

Trade	Asset Name	Ticker / CUSIP	No. of Shares/Units		Dollar Amount
Buy Sell				or	\$
Buy Sell				or	\$
Buy Sell				or	\$

## 3. AUTHORIZATION

I hereby acknowledge that I am solely responsible for the trade request I am making. I hold harmless, protect, and indemnify the Custodian and Administrator from and against any and all liabilities, losses, damages, expenses, and charges that the Custodian and Administrator may sustain, resulting directly or indirectly from my investment. I acknowledge that I am solely responsible for the success or failure of this investment. I acknowledge Forge Trust Co. may contact me for verbal confirmation of my request, which may cause delays if I cannot be reached at the phone number(s) on record. I hereby authorize the buy and/or sell of the asset(s) listed above for my Forge Trust Co. account. For liquidations, I understand that the cash will be deposited into my Custodial Cash Account until further instruction.

Account Owner Signature X	Date (MM/DD/YYYY)
------------------------------	-------------------

## DELIVERY INSTRUCTIONS

**Email**  
Investments@ForgeTrust.com

**Fax**  
(650) 745-2929

**Regular mail**  
Forge Trust Co.  
PO Box 6850  
San Mateo, CA 94403

**Overnight mail**  
Forge Trust Co.  
3050 S Delaware Street, Ste. 202  
San Mateo, CA 94403