

Contact Center: (800) 248-8447 | www.ForgeTrust.com

This form authorizes a direct transfer of cash from your current custodian to Forge Trust Co. for deposit into your Forge Trust Co. account.

Do not use this form if you are intending to roll over a qualified retirement plan (e.g., 401(k), 457 and 403(b) plans). Please contact your Plan Administrator to initiate a ROLLOVER of these types of plans.

**Priority Processing Requested:** Priority processing includes sending the request to the contra custodian within one business day, if in good order. There is a fee of \$250 for this service. Standard processing time is up to 3 business days, if in good order.

Required fields are identified with an \*

## 1. FORGE TRUST CO. ACCOUNT INFORMATION

|                     |                                     |                             |        |
|---------------------|-------------------------------------|-----------------------------|--------|
| First Name*         | Middle Name                         | Last Name*                  | Suffix |
| Account Number      | Social Security Number* XXX-XX-XXXX | Date of Birth* (MM/DD/YYYY) |        |
| Phone* XXX-XXX-XXXX | Email* (Your personal email only)   |                             |        |

Check this box if this account is an Inherited IRA

Decedent's Name (if inherited): \_\_\_\_\_

|                       |                 |          |         |            |                                     |             |
|-----------------------|-----------------|----------|---------|------------|-------------------------------------|-------------|
| <b>Account Type*:</b> | Traditional IRA | Roth IRA | SEP IRA | SIMPLE IRA | Coverdell Education Savings Account | Solo 401(k) |
|-----------------------|-----------------|----------|---------|------------|-------------------------------------|-------------|

## 2. DELIVERING ACCOUNT INFORMATION

Name on Delivering Account, if different from Forge Trust Co. account name.

|   |                            |                 |                        |
|---|----------------------------|-----------------|------------------------|
| First Name                              | Middle Name                | Last Name       | Suffix                 |
| Account Number* (one per form)          |                            | Custodian Name* |                        |
| Custodian Address*                      |                            |                 |                        |
| City*                                   |                            | State/Province* | Zip/Postal Code*       |
| Contact Name or Attention To (optional) | Contact Phone XXX-XXX-XXXX |                 | Contact Fax (optional) |

Check this box if this account is an Inherited IRA

|                       |                 |          |         |            |                                     |             |
|-----------------------|-----------------|----------|---------|------------|-------------------------------------|-------------|
| <b>Account Type*:</b> | Traditional IRA | Roth IRA | SEP IRA | SIMPLE IRA | Coverdell Education Savings Account | Solo 401(k) |
|-----------------------|-----------------|----------|---------|------------|-------------------------------------|-------------|

**I will provide a copy of all pages of my recent delivering account statement. I understand my request will not be processed without it.**

Check this box for the request to be delivered by overnight mail via Fed Ex Account # \_\_\_\_\_.  
If no account number is provided or billing is declined, it will be charged to your Forge Trust Co. IRA Account.

## 3. CASH TO TRANSFER

To transfer CASH, you MUST provide your current custodian with appropriate LIQUIDATION INSTRUCTIONS prior to submitting this form to Forge Trust Co. unless your custodian will liquidate upon receipt of our request. Please select one option below.

|  |   |
|--|---|
| <p>Full Cash Transfer (This will close my current custodian account):</p> <p>Liquidate and Transfer my Entire Account to Forge Trust Co.</p> | <p>Partial Transfer (My current custodial account will remain open):</p> <p>Transfer exactly \$ _____</p> <p><b>It is recommended that you transfer more than your intended transaction amount to ensure sufficient funds for any account or transaction fees that may apply. Refer to your Forge Trust Co. fee schedule.</b></p> |
|--|---|

I confirm I have liquidated any positions or have arranged with the current custodian to liquidate the required positions upon receipt of this request.

#### 4. DELIVERY INSTRUCTIONS FOR CUSTODIAN

Please choose from the options below on how you would like your custodian to deliver your cash to Forge Trust Co. If no option is selected, your custodian will choose for you.

|  |  |  |
|--|--|--|
| <p><b>Check</b> (subject to a 5 business day hold)</p>                             | <p>Forge Trust Co.<br/>PO Box 2048<br/>San Francisco, CA 94126<br/>Make checks payable to "Forge Trust Co., FBO &lt;Client Name&gt;<br/>&lt;Forge Trust Co. Account Number&gt;"</p>  | <p>For overnight deliveries:<br/>Forge Trust Co.<br/>4 Embarcadero Ctr, Floor 15<br/>San Francisco, CA 94111</p> |
| <p><b>Wire</b> (Incoming Wire Fee applies)<br/><i>Do not send cash via ACH</i></p> | <p>Bank Name: Tri Counties Bank<br/>Routing Transit Number (ABA): 121135045<br/>Credit Account Name: Forge Trust Co.<br/>Credit Account Number: 452060061<br/>For Further Credit: "FBO &lt;Client Name&gt; &amp; &lt;Forge Trust Co. Account Number&gt;"</p> |  |

#### 5. ACCOUNT OWNER AUTHORIZATION

By signing below, I acknowledge that the appointment of Forge Trust Co. as custodian will become effective upon receipt of the assets from the prior custodian. I further acknowledge that Forge Trust Co. assumes no liability for the action or inaction of the prior custodian as to the proper and timely transfer of funds.

- I direct Forge Trust Co. and the delivering custodian to act on all instructions given on this form, including transferring assets to Forge Trust Co.
- I authorize the delivering custodian to sell any non-transferable money fund shares, and any shares of other mutual funds that I have requested be sold, and transfer the proceeds as cash.
- I accept that Forge Trust Co. is not responsible for changes in the value of assets that may occur during the transfer process.
- I affirm that I am aware of any tax or financial implications that may arise in connection with this transfer or with the sale or liquidation of any assets prior to transfer, including penalties, fees, financial losses, or losses of product features or benefits.
- I authorize the delivering custodian to deduct from my account any fees that I owe, and, if necessary, to sell assets in my account to pay those fees.
- I instruct the delivering custodian to transfer any physical certificates in good deliverable form, including any necessary tax waivers.
- If I am transferring a retirement account and I am 73 or older, I affirm that this transfer will not violate IRS rules on required minimum distributions.
- If I am transferring into a SIMPLE IRA, I accept that the Date of First Participation will be the date that contributions are first deposited to my SIMPLE IRA at Forge Trust Co. by my employer, unless I provide a Date of First Participation.
- If there is a difference in the owner name(s) from section 1 and section 2, I acknowledge and attest that I am one and the same individual or entity.
- If there is a difference in the account type from section 1 and section 2, I acknowledge and attest that the transfer is allowable per IRS regulations.
- I acknowledge that Forge Trust Co. and its representatives do not provide tax, legal or investment advice; that the IRA is self-directed; and that I assume full responsibility for this transfer. Forge Trust Co. is not responsible for and does not guarantee any self-directed investment's products, services, or performance. I hold harmless, protect and indemnify Forge Trust Co., Forge Services, Inc. ("Administrator"), and their representatives from and against all liabilities, losses, damages, expenses, and charges that Forge Trust Co., the Administrator, and their representatives may sustain resulting directly or indirectly from this transaction.

I certify that the information and instructions provided, and the elections made by and through this Transfer Authorization, are true and correct. Forge Trust Co. may justifiably rely upon the instructions and elections made herein and is authorized to deposit the funds or securities in the manner provided by this Transfer Authorization.

|   |                          |
|---|--------------------------|
| <p>Account Owner Signature<br/><b>X</b></p> | <p>Date (MM/DD/YYYY)</p> |
|---|--------------------------|

**If this form is not being submitted via Forge Trust Co.'s DocuSign process, a physical signature is required.**

#### 6. FORGE TRUST CO.'S LETTER OF ACCEPTANCE (This section is for Forge Trust Co. NOT to be completed by Account Owner.)

Forge Trust Co. accepts its appointment as Custodian. (Tax ID: 26-2627205)



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| <p>Signature of Agent for Forge Trust Co. as Custodian</p> |
| <p>Date (MM/DD/YYYY)</p>                                   |

#### DELIVERY INSTRUCTIONS (PDF ONLY, PLEASE DO NOT SEND JPEG, JPG, OR OTHER SMARTPHONE IMAGES)

**Email**  
transfers-in@ForgeTrust.com

**Fax**  
(650) 745-2907

**Regular mail**  
Forge Trust Co.  
PO Box 2048  
San Francisco, CA 94126

**Overnight mail**  
Forge Trust Co.  
4 Embarcadero Ctr, Floor 15  
San Francisco, CA 94111