

Contact Center: (800) 248-8447 | www.ForgeTrust.com

**Complete sections 1, 2 & 3 if you are lending funds to, or buying stock or an interest in, a business entity, LLC, Limited Partnership.
Complete sections 1 & 4 if you are lending funds to an Individual.**

1. PERSONAL INFORMATION (*required field)

Should Forge Trust Co. need to contact you in regards to this request, your preferred method of contact is:

Email

Primary Phone

First Name*	Middle Name	Last Name*
Account Number*	Social Security Number* (last 4 digits)	Date of Birth* (MM/DD/YYYY)
Phone* XXX-XXX-XXXX	Email (Your personal email only)	

2. INVESTMENT NAME/DESCRIPTION

Name of company/limited partnership/business entity in which you wish to invest

3. QUESTIONNAIRE

1. Do you or any family member own any units/shares/interest in the investment outside of your IRA?	Yes	No
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If yes:

Explain the relationship. (Write 'self' if yourself)	What is the existing percentage of ownership? %
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2. Are you or any family member the main decision-maker or majority owner of the investment?	Yes	No
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If yes:

Explain the relationship. (Write 'self' if yourself)
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3. Are you or any family member employed by the investment?	Yes	No
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If yes:

Explain the relationship. (Write 'self' if yourself)	What position is held?
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4. Will you or any family member be compensated or receive any other personal benefit as a result of this IRA investment?	Yes	No
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If yes:

Explain the relationship. (Write 'self' if yourself)
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5. Are you a Politically Exposed Person (“PEP”)? PEP refers to individuals who are or have been entrusted with a prominent public function, as well as to their immediate family members, and close associates. PEPs include political figures, executives of government-owned corporations, senior government officials, and members of the executive, legislative, administrative, military, and judicial branches of government.

Yes

No

If yes, please explain.

4. COMPLETE IF LENDING FUNDS TO AN INDIVIDUAL

1. Name of Borrower:	
2. How are you related to the Borrower?	Please explain

5. SIGNATURE

Participant Signature X	Date (MM/DD/YYYY)
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Please sign and submit additional documents as required.

DELIVERY INSTRUCTIONS

Please include this form with your required Investment documents.

Email
investments@ForgeTrust.com

Fax
(650) 745-2929

Regular mail
Forge Trust Co.
PO Box 2048
San Francisco, CA 94126

Overnight mail
Forge Trust Co.
4 Embarcadero Ctr, Floor 15
San Francisco, CA 94111