

Contact Center: (800) 248-8447 | www.ForgeTrust.com

**Complete Sections 2 & 3a to move assets from your IRA LLC to your IRA account. Complete Sections 3 & 3a of this form if you wish to exchange an asset currently held in your IRA with another asset.**

Invoice My Fees: I elect to be invoiced any applicable fees to pay online, via credit card or ACH. I understand I have 5 days from when I am billed to make the online payment. If the invoice has not been paid, fees will be swept from available cash, and if cash is unavailable, my request will be canceled.

## 1. PERSONAL INFORMATION (\*required field)

Should Forge Trust Co. need to contact you in regards to this request, your preferred method of contact is:

Email

Primary Phone

|                     |   |                             |
|---------------------|---|-----------------------------|
| First Name*         | Middle Name                             | Last Name*                  |
| Account Number*     | Social Security Number* (last 4 digits) | Date of Birth* (MM/DD/YYYY) |
| Phone* XXX-XXX-XXXX | Email (Your personal email only)        |                             |

## 2. IN-KIND INVESTMENT / ASSET MOVEMENT INSTRUCTIONS

I wish to move assets (select one):

|                           |   |
|---------------------------|---|
| From my IRA to my IRA LLC | From my IRA LLC to my IRA (*Please complete Section 3a AND also the Prohibited Transaction Questionnaire form.) |
| Name of IRA LLC           |   |

Move the following assets:

| Investment Name | Amount | Investment Name | Amount |
|-----------------|--------|-----------------|--------|
|                 |        |                 |        |
|                 |        |                 |        |
|                 |        |                 |        |
|                 |        |                 |        |

Need to list more assets? Attach a separate page and write "SEE ATTACHED" at the top of this form. The asset(s) listed above will be re-registered; a re-registration fee per asset applies. See the *FEE SCHEDULE & FINANCIAL DISCLOSURE*.

**For Real Estate and Trust Deeds:**

If you are moving real estate and/or a trust deed, please prepare a deed and/or an Assignment of Deed of Trust to change the title and attach it to this form. We will execute the documents and return them to you for recording. Once the documents are recorded, please forward them to us as proof of re-registration.

**For Publicly-Traded Securities:**

If moving assets from your IRA to your IRA LLC: If the assets listed above are publicly-traded securities held in our brokerage account, please provide DTC instructions for your IRA LLC's brokerage account so we can transfer the securities to the brokerage account:

|                     |                                 |           |
|---------------------|---------------------------------|-----------|
| Brokerage Firm Name | IRA LLC's Brokerage Account No. | DTC No.** |
|---------------------|---------------------------------|-----------|

\*\*If you do not know the DTC number, please contact your brokerage firm for this information

### 3. EXCHANGE INSTRUCTIONS (Supporting documentation must be provided for all transfers.)

|                               |                      |  |                      |
|-------------------------------|----------------------|--|----------------------|
| Name of asset to be exchanged | Amount/no. of shares | Exchange asset listed above with the following asset | Amount/no. of shares |
|-------------------------------|----------------------|--|----------------------|

\*Section 3a

|  |                         |                 |         |
|--|-------------------------|-----------------|---------|
| Name of Investment Sponsor/Managing Entity |                         |                 |         |
| Address                                    |                         |                 |         |
| City                                       | State/Province          | Zip/Postal Code | Country |
| Phone Number XXX-XXX-XXXX                  | Fax Number XXX-XXX-XXXX | Email           |         |
| Exchange as of date (MM/DD/YYYY): _____    |                         |                 |         |

### 4. ACKNOWLEDGMENT & PARTICIPANT SIGNATURE

|                                       |                   |
|---------------------------------------|-------------------|
| Account Owner's Signature<br><b>X</b> | Date (MM/DD/YYYY) |
|---------------------------------------|-------------------|

Please sign and submit additional documents as required.

### DELIVERY INSTRUCTIONS

**For In-Kind Investment/Asset**

**Movement Requests:**

**Fax** (650) 745-2932

**Email** transfers-out@ForgeTrust.com

**For Exchange Requests:**

**Fax** (650) 745-2929

**Email** investments@ForgeTrust.com

**Regular mail**

Forge Trust Co.

PO Box 6850

San Mateo, CA 94403

**Overnight mail**

Forge Trust Co.

3050 S Delaware Street, Ste. 202

San Mateo, CA 94403